

0410 S SS PATENT 10/015,380  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Group Art Unit: 2645  
Michael W. Brown et al. : Intellectual Property  
Serial No: 10/015,380 : Law Department  
Filed: 12/12/2001 : International Business  
Title: Managing Caller : Machines Corporation  
Profiles Across Multiple Hold : 11400 Burnet Road  
Queues According To : Austin, Texas 78758  
Authenticated Caller Idnetifiers



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D. C. 20231 on March 26, 2002.

Martha Acosta

3/26/02  
Date

INFORMATION DISCLOSURE STATEMENT

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Assistant Commissioner of Patents  
Washington, D. C. 20231  
Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

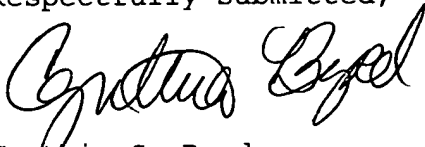
In accordance with 37 C.F.R. 1.97(b) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no

other material information as defined in 37 CFR 1.56 (a) exists.

The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

In the event a fee is required in connection with the enclosed Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,



Cynthia S. Byrd  
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In Place of FORM PTO-1449 (Modified)

Serial No. 10/015,380

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

Applicant: Brown et al.

Filing Date: 12/12/01

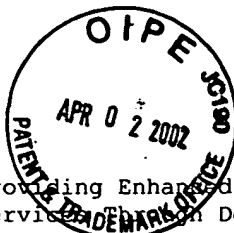
Group: 2645

Atty. Docket No. AUS920010824US1

Reference Designation

U. S. PATENT DOCUMENTS

| <u>Examiner<br/>Initial</u> | <u>Document<br/>Number</u> | <u>Date</u> | <u>Name</u>   | <u>Class</u> | <u>Sub-<br/>class</u> | <u>Filing Date<br/>if<br/>Appropriate</u> |
|-----------------------------|----------------------------|-------------|---|--------------|-----------------------|---|
| ___ AA                      | US 5,499,288               | 3/12/96     | Simultaneous Voice<br>Recognition and<br>Verification to Allow<br>Access to Telephone<br>Network Services                     | 379          | 88                    | 3/22/94                                   |
| ___ AB                      | US 5,673,404               | 09/30/97    | End-User Customizable<br>Feedback Display for<br>Windowed Applications  | 395          | 347                   | 12/20/95                                  |
| ___ AC                      | US 5,790,637               | 8/4/98      | Extended Voice Messaging  | 379          | 67                    | 2/16/96                                   |
| ___ AD                      | US 5,915,001               | 6/22/99     | System and Method for<br>Providing and Using<br>Universally Accessible<br>Voice and Speech Data<br>Files                      | 379          | 88.22                 | 11/14/96                                  |
| ___ AE                      | US 5,940,476               | 8/17/99     | System and Method for<br>Identifying an<br>Unidentified Caller  | 379          | 88.02                 | 6/28/96                                   |
| ___ AF                      | US 5,946,654               | 8/31/99     | Speaker Identification<br>Using Unsupervised Speech<br>Models   | 704          | 246                   | 2/21/97                                   |
| ___ AG                      | US 6,038,305               | 3/14/00     | Personal Dial Tone<br>Service with Personalized<br>Caller ID  | 379          | 207                   | 8/1/97                                    |
| ___ AH                      | US 6,058,364               | 5/2/00      | Speech Recognition of<br>Customer Identifiers<br>Using Adjusted<br>Probabilities Based on<br>Customer Attribute<br>Parameters | 704          | 252                   | 11/20/97                                  |
| ___ AI                      | US 6,101,242               | 8/8/00      | Monitoring for Key Words<br>with SIV to Validate Home<br>Incarceration  | 379          | 88.02                 | 12/23/97                                  |



\_\_\_\_ AJ US 6,122,357 9/19/00 Providing Enhanced  
Services Through Double  
SIV and Personal Dial  
Tone 379 207 4/8/98

\_\_\_\_ AK US 6,178,230 1/23/01 System and Method for  
B1 Identifying a Callee of  
an Incoming Telephone  
Call 379 67.1 11/13/97

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FOREIGN PATENT DOCUMENTS

| Examiner<br>Initial | Document<br>Number | Date     | Country  | Class | Subclass | Translation |
|---------------------|--------------------|----------|----------|-------|----------|-------------|
| ____ AL             | JP 10294784A       | 11/04/98 | Japan    | HO4M1 | 57       | No          |
| ____ AM             | JP 8139797A        | 05/31/96 | Japan    | HO4M1 | 274      | No          |
| ____ AN             | EP 0585004A2       | 03/02/94 | European | HO4M3 | 44       | Yes         |
| ____ AO             | EP 0676882A2       | 10/11/95 | European | HO4M1 | 27       | Yes         |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| Examiner<br>Initial |      |         |             |  |
|---------------------|------|---------|-------------|--|
| ____ AP             | WPAT | Derwent | 1994-067503 |  |
| ____ AQ             | WPAT | Derwent | 1995-346415 |  |
| ____ AR             | WPAT | Derwent | 1996-315499 |  |
| ____ AS             | WPAT | Derwent | 1999-031530 |  |

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.